

Minutes 28 October 2019
Circulated 25 November 2019
Approved 2 December 2019

THE UNIVERSITY OF MICHIGAN
Senate Advisory Committee on University Affairs (SACUA)
Monday, October 28, 2019, 3:15 P.M.
4006 Fleming Building
Ann Arbor, Michigan 48109-1340

Present: Conway (Vice Chair), Ahbel-Rappe, Dinov, Gallo, Malek, Manera, Marsh Spencer; Banasik, Snyder

Absent: Beatty (Chair), Potter

Guests: Michael Imperiale, Associate Vice President for Research - Research Policy and Compliance, Members of the Press

3:15 Call to Order, Approval of Agenda and Minutes, Announcements

Vice Chair Conway said that she would be chairing the meeting in Chair Beatty's absence due to her participation in interviews for the Office of Institutional Equity. Vice Chair Conway noted that Secretary Potter is traveling and is also absent.

Vice Chair Conway gave an overview of items to be discussed on the agenda.

3:17 The agenda was approved.

The Minutes for September 30 will be on next week's agenda.

Vice Chair Conway reported that the October 21 Senate Assembly meeting was recorded successfully. It was noted that the volume was a little low.

Vice Chair Conway stated that AAAC is meeting on November 8, and anyone is welcome to attend. SACUA member and SACUA Liaison to AAAC, Gallo, attended the first meeting of AAAC this year. She indicated that the Chair was very organized. The meeting was structured around the priorities for the committee. SACUA member Gallo encouraged people to let AAAC Chair Kentaro Toyama and Faculty Governance Coordinator Ms. Snyder know if they plan to attend.

Vice Chair Conway confirmed that SACUA will be discussing the proposed umbrella policy during the November 4 meeting. She also stated that SACUA members should be thinking about the grievance process for the next meeting because John Cheney-Lippold and Bill Schultz will be attending to discuss Professor Cheney-Lippold's experience. Vice Chair Conway continued that James Hilton, Dean of Libraries and Vice Provost for Academic Innovation, and the Faculty Ombuds Robert Ortega and Michele Hannoosh will be attending in two weeks.

Vice Chair Conway pointed out that the Senate Assembly agenda includes representatives from the Provost's office to discuss the proposed umbrella policy. She

emphasized that SACUA would like to get as many faculty members involved as possible, and that Chair Beatty, Vice Chair Conway, and the Faculty Senate Office are proposing that this meeting be opened up to a full Faculty Senate meeting. Vice Chair Conway inquired about whether there were any objections. There were none. Space had been reserved at Hutchins Hall at Michigan Law to accommodate a meeting on November 18 should it take place.

SACUA member Spencer expressed an interest in reaching out to Academic Human Resources about the grievance process. Vice Chair Conway indicated that several SACUA members previously served as Faculty Grievance Monitors, and their experiences could be put together. This may be an item to re-visit after the Faculty Ombuds office visits on November 11.

Vice Chair Conway noted that President Schlissel will be a guest at SACUA on November 25. Vice Chair Conway acknowledged that requests have been received from SACUA members to have blocks of time available to plan for future agenda items, such as re-visiting the materials developed during the recent SACUA retreat which will be included on the November 25 agenda.

SACUA member Ahbel-Rappe indicated that people at Senate Assembly were moved by comments from a Dearborn colleague who reported that students are losing out on arts education. SACUA member Ahbel-Rappe advocated for addressing this issue. Vice Chair Conway acknowledged that it was unfortunate that there was not more time at the Senate Assembly meeting to continue the conversation. She acknowledged that it may necessary to come back to this issue as reported by Caitlin Finlayson, a Dearborn faculty member at a future Senate Assembly meeting.

SACUA member Malek questioned whether the library visit and conversation around open access could be postponed. Vice Chair Conway indicated that open access was a topic that surfaced at Senate Assembly. She also stated that although the university's contract is not up with Elsevier yet, this is something that should be discussed now. Vice Chair Conway reminded the group that James Hilton's visit was related to the Library Council rather than to open access.

3:29 Michael Imperiale, Associate Vice President (AVP) for Research - Research Policy and Compliance arrived.

Vice Chair Conway requested an overview of the university's response to foreign influence in research. AVP Imperiale indicated that foreign influence in research is a long-standing issue. People have been paying attention of foreign influence at US universities with a main focus on China. AVP Imperiale explained that the government is concerned that China is taking a different approach to looking for economic advantage by getting as much intellectual property advantage as possible from the United States. Federal funding agencies concern themselves with conflict of interest and conflict of commitment by university faculty. The taxpayer is paying for research. If a researcher also receives funds from another country, then there is the potential to be double-dipping resulting in tax dollars not being well spent. AVP Imperiale stated that we need to be good stewards of federal dollars. Further, a large number of research institutions have received requests from the NIH about undisclosed financial interests which may present intellectual property and financial stewardship concerns.

AVP Imperiale explained how the University of Michigan Office of Research (UMOR) can help faculty to be compliant. A small committee was put together in UMOR to look at compliance. First, efforts have been made to simplify policy with respect to reporting on conflict

of interest and conflict of commitment. This can be challenging because some reporting is centralized and some is not. A more uniform policy will facilitate compliance. Second, the system for reporting is being overhauled. AVP Imperiale stated that UMOR is centrally double checking at various steps to make sure affiliations and collaborations are being properly disclosed. AVP Imperiale indicated that three town halls will be held, including one at Michigan Medicine, one at Engineering, and one on central campus. AVP Imperiale will also be meeting with some key departments. AVP Imperiale stated that Engineering is piloting a program that ensures that foreign visitors do not have access to things they should not be able to access. He indicated that his office is focusing on areas they see as having elevated risk with certain types of research and collaborative efforts. They are putting together a committee to define the criteria. He explained that many other institutions around the United States are making similar efforts, such as Harvard and MIT. The primary goals are to ensure that what faculty are doing is being protected, and that we are being good stewards of federal dollars. AVP Imperiale quoted an email from President Schlissel indicating that international collaborations are an important part of our missions as public institutions, and that being a global university is inextricably linked to excellence. AVP Imperiale continued that the commitment to be a welcoming place will not be diminished. He concluded by stating that his office wishes to make it easy for faculty to do their work, and to comply with the federal government.

3:39 Executive Session – Michael Imperiale

4:07 Executive Session – Umbrella Policy

4:44 Update on Electronic Voting

Professor Dinov indicated that there has been a struggle to get a quorum for Senate Assembly meetings. With an electronic voting system in place, people will be able to vote before, during, or after a meeting. Professor Dinov stated that Information and Technology Services (ITS) has been in touch with Ms. Snyder and Dr. Banasik. Professor Dinov stated that he does not believe that Qualtrics is an appropriate platform to use to implement evoting. A platform should provide an analysis of voting showing informed decisions. Dr. Dinov stated further that issues can be brought forward with a ballot with pros and cons discussing the potential results of a decision.

Dr. Banasik gave an update about working with ITS to discuss implementation of SimplyVoting. ITS is able to provide support to record meetings and to coordinate a deliberative space in Canvas to facilitate evoting.

4:50 Matters Arising

Professor Marsh presented a motion to develop an evoting platform:

For the current academic year, develop an appropriate evoting platform to be used in the event of a vote for faculty governance.

4:58 The motion was passed unanimously.

Dr. Banasik gave an update about plans to redesign the Faculty Senate Office website. She has contacted 3 vendors for quotes at this time.

Professor Malek indicated that setting up potential philanthropy for the Faculty Senate Office should be revisited.

5:02 The meeting was adjourned.

Respectfully submitted,
MaryJo Banasik
Director, Faculty Senate Office

University of Michigan Bylaws of the Board of Regents, Sec. 5.02:

Governing Bodies in Schools and Colleges

Sec. 4.01 The University Senate

"...[t]he Senate is authorized to consider any subject pertaining to the interests of the university, and to make recommendations to the Board of Regents in regard thereto. Decisions of the University Senate with respect to matters within its jurisdiction shall constitute the binding action of the university faculties. Jurisdiction over academic policies shall reside in the faculties of the various schools and colleges, but insofar as actions by the several faculties affect university policy as a whole, or schools and colleges other than the one in which they originate, they shall be brought before the University Senate."

Rules of the University Senate, the Senate Assembly and the Senate Advisory Committee on University Affairs:

Senate: "In all cases not covered by rules adopted by the Senate, the procedure in Robert's Rules of Order shall be followed."

Assembly: "The Assembly may adopt rules for the transaction of its business. In appropriate cases not covered by rules of the Assembly, the rules of the University Senate shall apply."

SACUA: "The committee may adopt rules for the transaction of its business."